

	A	B	C	D	E	F	G	H	I
1	Pension Fund - Risks Mapped to Assurance								
2									
3	#	Risk	Assurance Source	Title	Last Audit reported to PFC	Audit Committee	Assurance Level	Note	
4	1	Pension Fund Assets	Pension Fund Audit Assurance	Investments	2021/22		Substantial		
5			Pension Fund Audit Assurance	Contributions	2020/21		Substantial		
6			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial		
7			Pension Fund Audit Assurance	Bank Reconciliation	2022/23		Substantial		
8			Pension Fund Audit Assurance	Debt Recovery	2018/19		Substantial		
9			External Monitoring	Quarterly Investment Consultant Reporting to Pension Fund Committee	Quarterly		n/a		
10			External Monitoring	Quarterly Independent Advice to Pension Fund Committee	Quarterly		n/a		
11			External Audit	External Audit Report 2020/21	2020/21		n/a		
12			BCPP AAF	AAF Type II Assurance Report	2020/21*		n/a	*provided by BCPP	
13	2	Counterparty	Pension Fund Audit Assurance	Investments	2021/22		Substantial		
14			DCC Corporate Controls	Treasury Management		2020/21	Substantial		
15	3	ESG	Pension Fund Audit Assurance	Investments	2021/22		Substantial		
16			External Monitoring	Quarterly ESG Reporting to Pension Fund Committee	Quarterly		n/a		
17			BCPP RI Reporting	Annual RI Report, Annual TCFD Report, UK Stewardship Code Compliance	2021/22		n/a		
18	4	Liabilities	Valuation Report	Triennial Valuation	2019/20		n/a		
19			Annual Report	Annual Statement of the Fund Actuary 2020/21	2020/21		n/a		
20			External Monitoring	Quarterly Investment Consultant Reporting to Pension Fund Committee	Quarterly		n/a		
21			External Monitoring	Quarterly Independent Advice to Pension Fund Committee	Quarterly		n/a		
22	5	Employers	Pension Fund Audit Assurance	Contributions	2020/21		Substantial		
23			Pension Fund Audit Assurance	Admission Bodies / Funding Risks	2022/23		Substantial		
24	6	Administration	Pension Fund Audit Assurance	Pensions Payroll	2020/21		Substantial		
25			Pension Fund Audit Assurance	Transfer In/Out	2021/22		Substantial		
26			Pension Fund Audit Assurance	Additional Voluntary Contributions	2021/22		Substantial		
27			Pension Fund Audit Assurance	Benefit Calculations	2022/23		Substantial		
28			Pension Fund Annual Report	Governance Compliance Statement	2020/21		n/a		
29	7	Skills	Pension Fund Annual Report	Governance Compliance Statement	2020/21		n/a		
30	8	Pooling	Pension Fund Audit Assurance	Investments	2021/22		Substantial		
31			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial		
32			BCPP AAF	AAF Type II Assurance Report	2020/21*		n/a	*provided by BCPP	
33	9	ICT	Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2022/23		Substantial		
34			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a		
35			DCC Corporate Controls	Windows Operating System		2017/18	Substantial		
36			DCC Corporate Controls	Backup Arrangements		2021/22	Moderate		
37			DCC Corporate Controls	Mobile Computing (Follow Up)		2018/19	n/a		
38			DCC Corporate Controls	Business Continuity for ICT		2018/19	Moderate		
39			DCC Corporate Controls	Incident Management		2019/20	Substantial		
40			DCC Corporate Controls	Vulnerability Management (Advice)		2021/2	n/a		
41	10	Data Quality	Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2019/21		Substantial		
42	11	Data Breach	Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2019/21		Substantial		
43			Pension Fund Audit Assurance	Compliance With Breach Policy	deferred		n/a*	*part of 2023/24 plan	
44			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a		
45	12	Cyber	Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2019/23		Substantial		
46			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a		
47			DCC Corporate Controls	Windows Operating System		2017/18	Substantial		
48			DCC Corporate Controls	Backup Arrangements		2021/22	Moderate		
49			DCC Corporate Controls	Mobile Computing (Follow Up)		2018/19	n/a		
50			DCC Corporate Controls	Business Continuity for ICT		2018/19	Moderate		
51			DCC Corporate Controls	Incident Management		2019/20	Substantial		
52			DCC Corporate Controls	Vulnerability Management (Advice)		2021/2	n/a		
53	13	Fraud	Pension Fund Audit Counter Fraud	National Fraud Initiative – Data matching to identify potential error/fraud	2021/22		n/a		
54	14	Regulatory Compliance	Pension Fund Audit Assurance	Pensions Payroll	2020/21		Substantial		
55			Pension Fund Audit Assurance	Transfer In/Out	2021/22		Substantial		
56			Pension Fund Audit Assurance	Additional Voluntary Contributions	2021/21		Substantial		
57			Pension Fund Audit Assurance	Contributions	2020/21		Substantial		
58			Pension Fund Audit Assurance	Benefit Calculations	2022/23		Substantial		
59			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial		
60			Pension Fund Annual Report	Governance Compliance Statement	2020/21		n/a		